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PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION **TRANSMITTAL**

01AB082 Attorney Docket No. Joseph Lenner First Inventor **ELECTRONIC LOCKOUT/TAGOUT SYSTEM**

| (Unity for new nonprovisi | onal applications under 37 CFR 1.53(b)) | EXP | ress M | lail Label No | . 120032 | 20307 | 03 |
|--|---|------------|--|---|---|-------------------------------------|----------------------------------|
| APPLIC | ATION ELEMENTS | | ADDR | ESS TO: | Assistant Co Box Patent | ommis Applie | sioner for Patents |
| See MPEP chapter 600 co. | ncerning utility patent application contents | | | | Washington | | |
| Fee Transmittal (Submit an original and 2. Applicant claims See 37 CFR 1.2 Specification (preferred arrangeme - Descriptive titl - Cross Referen - Statement Re - Reference to s or a computer - Background o - Brief Summar | Form (e.g., PTO/SB/17) a duplicate for fee processing) small entity status. 7. [Total Pages 44] ent set forth below) e of the invention ace to Related Applications garding Fed sponsored R & D sequence listing, a table, program listing appendix f the Invention y of the Invention | 7 | a. Land in the control of the contro | CD-ROM or CD Computer Prog tide and/or Ami licable, all nece Computer Rea cification Seque i. | D-R in duplica ram (Append no Acid Seq ssary) adable Form ence Listing DM or CD-R | ate, lardix) uence (CRF) on: (2 cop | ge table or Submission ies); or |
| - Brief Descripti | ัดก of the Drawings (<i>if fileป</i>) ription | . 7 | 9. 7 | | | | |
| - Claim(s) - Abstract of the | · | 4 | 9. [2] | 37 CFR 3.73(t (when there is |) Statement | Г | & document(s)) Power of Attorney |
| 4. Drawing(s) (35 | U.S.C. 113) [Total Sheets 17 | | 11. | English Transl | | nent <i>(if</i> | applicable) Copies of IDS |
| 5. Oath or Declaration | [Total Pages 2 | | 12 | Information Di Statement (ID: | | , L | Citations |
| a. Newly exec | cuted (original or copy) a prior application (37 CFR 1.63 (d)) | [| 13 | Preliminary Ar | | | |
| b. (for continu | ation/divisional with Box 18 completed) | | 14. 🗸 | Return Receip (Should be spe | | | 503) |
| | TION OF INVENTOR(S) ratement attached deleting inventor(s) | ŀ | 15. 🔙 | Certified Copy (if foreign prior | of Priority D | ocume d) | ent(s) |
| named in | the prior application, see 37 CFR) and 1.33(b). | | 16. 🔽 | Nonpublication (b)(2)(B)(i). Ap | n Request ur oplicant must | nder 35 attach | 5 U.S.C. 122 n form PTO/SB/35 |
| 6. Application Data | a Sheet. See 37 CFR 1.76 | 1 | 17. 🗸 | or its equivaler Other: | ^{nt.} Express M | Mail Ce | rtificate |
| 18. If a CONTINUING APPL or in an Application Data Sh | ICATION, check appropriate box, and sup | oply the | requisite | information be | low and in a | prelim | inary amendment, |
| Continuation | Divisional Continuation-in-part (CIP) | | of pric | or application No.: | , | | |
| Prior application information: | Examiner | | | up Art Unit: | | | |
| Box 5b, is considered a part of | IONAL APPS only: The entire disclosure of the disclosure of the disclosure of the accompanying continuity. | uation o | applicati or division | on, from which a | n oath or dec | laratio | n is supplied under |
| The incorporation can only be | relied upon when a portion has been inadve | ertently o | omitted fr | om the submitte | d application | parts. | |
| | 19. CORRESPOND | PENCE | ADDRES | 38 | | | |
| Customer Number or Bar C | ode Label (Insert Customer No. or Atlach b | ar code la | bel here) | or 🔽 | Согтеѕро | ndence a | eddress below |
| Name | William R. Walbrun | | | | | | |
| | Rockwell Automation (Allen-Bradle) | y Co., I | nc.) | | | | |
| Address | 1201 South Second Street | | | | | | |
| City | Milwaukee | State | Wi | sconsin | Zip (| Code | 53204 |
| Country | Te | lephone | e 41 | 4.382.1314 | Fa | эх | 414.382.3900 |
| Name (Print/Type) | Himanshu S. Amin | F | Registra | tion No. (Attor | ney/Agent) | 40, | 894 |
| Signature | 11/11 | | | | Date | Augi | ust 23, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (09-00)

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

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| Complete if Known | | | |
|----------------------|---------------|-------|--|
| Application Number | | | |
| Filing Date | Herewith | | |
| First Named Inventor | Joseph Lenner | | |
| Examiner Name | | | |
| Group Art Unit | | ····· | |
| Attorney Docket No. | 01AB082 | | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | |
|---|--|----------|--|--|--|
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | 3. ADDITIONAL FEES | | | | |
| Deposit Deposit | Large Entity Small Entity Fee Fee Fee Fee Foo Department | | | | |
| Account Number 50-1063 | Code (\$) Code (\$) | Fee Paid | | | |
| Deposit | 105 130 205 65 Surcharge - late filing fee or oath | | | | |
| Account Amin & Turocy, LLP | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | | | | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 139 130 139 130 Non-English specification | | | | |
| Applicant claims small entity status. | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | | | |
| See 37 CFR 1.27 | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | | | |
| 2. Payment Enclosed: Check Credit card Money Other | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | | | | |
| FEE CALCULATION | 115 110 215 55 Extension for reply within first month | | | | |
| | 116 390 216 195 Extension for reply within second month | | | | |
| BASIC FILING FEE Large Entity Small Entity | 117 890 217 445 Extension for reply within third month | | | | |
| Fee Fee Fee Fee Description | 118 1,390 218 695 Extension for reply within fourth month | | | | |
| Code (\$) Code (\$) Fee Paid 101 710 201 355 Utility filing fee | 128 1,890 228 945 Extension for reply within fifth month | | | | |
| 101 710 201 355 Utility filing fee 710 | 119 310 219 155 Notice of Appeal | | | | |
| 107 490 207 245 Plant filing fee | 120 310 220 155 Filing a brief in support of an appeal | | | | |
| 108 710 208 355 Reissue filing fee | 121 270 221 135 Request for oral hearing | | | | |
| 114 150 214 75 Provisional filing fee | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | | |
| | 140 110 240 55 Petition to revive - unavoidable | | | | |
| SUBTOTAL (1) (\$) 710.00 | 141 1,240 241 620 Petition to revive - unintentional | | | | |
| 2. EXTRA CLAIM FEES | 142 1,240 242 620 Utility issue fee (or reissue) | | | | |
| Fee from Ext <u>ra Clai</u> ms <u>below</u> Fee Paid | 143 440 243 220 Design issue fee | | | | |
| Total Claims 34 -20** = 14 x 18 = 252 | 144 600 244 300 Plant issue fee | | | | |
| Claims 0 -3 - 5 × 60 = 240 | 122 130 122 130 Petitions to the Commissioner | | | | |
| Multiple Dependent 0 = 0 | 123 50 123 50 Petitions related to provisional applications | | | | |
| Large Entity Court Entity | 126 240 126 240 Submission of Information Disclosure Stmt | | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) | 581 40 581 40 Recording each patent assignment per property (times number of properties) | 40.00 | | | |
| 103 18 203 9 Claims in excess of 20 | 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a)) | i i | | | |
| 102 80 202 40 Independent claims in excess of 3 | 149 710 249 355 For each additional invention to be | | | | |
| 104 270 204 135 Multiple dependent claim, if not paid | examined (37 CFR § 1.129(b)) | | | | |
| 109 80 209 40 ** Reissue independent claims over original patent | 179 710 279 355 Request for Continued Examination (RCE) | | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | 169 900 169 900 Request for expedited examination of a design application | | | | |
| SUBTOTAL (2) (\$) 492.00 | Other fee (specify) | | | | |
| **or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00 | | | | | |
| SUBMITTED BY Complete (if applicable) | | | | | |

Registration No. Name (Print/Type) Himanshu S. Amin 40,894 Telephone 216.696.8730 (Attorney/Agent) Signature August 23, 2001

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

| First I | Named Inventor | Joseph Lenner | |
|--------------------|----------------|------------------------|--|
| Title | ELECTRONIC | LOCKOUT/TAGOUT SYSTEMS | |
| Atty Docket Number | | 01AB082 | |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 23, 2001

Date

11/11

Signature

Himanshu S. Amin (Reg. No., 40,894)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

150

Atty. Docket No. 01AB082

ELECTRONIC LOCKOUT/TAGOUT SYSTEMS

by

Joseph Lenner

Certificate of Mailing

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date <u>August 23, 2001</u>, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>ET268326567US</u> addressed to the: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Himanshu S. Amin

(Typed or Printed Name of Person Mailing Paper)

(Signature of Person Mailing Paper)